



### Questionnaire

#### I. General Information

- |    |                          |  |
|----|--------------------------|--|
| 1. | Institution Name:        | DİLER YATIRIM BANKASI A.Ş.   |
| 2. | Registration/Licence No: | 404332-351914  |
| 3. | Address:                 | TERSANE CAD. NO:96 DİLER HAN K.8 34425<br>KARAKÖY-İSTANBUL                       |
|    | Registered Office        | TERSANE CAD. NO:96 DİLER HAN K.8 34425<br>KARAKÖY-İSTANBUL                       |
|    | Location of Head Office  | KARAKÖY-İSTANBUL   |
| 4. | Supervisory Authority:   | BDDK ; MASAK   |
| 5. | Website address:         | <a href="http://www.dilerbank.com.tr">www.dilerbank.com.tr</a>                   |
| 6. | Contact Name:            | SEVKAN KILIÇ VARAN   |
|    | Contact Telephone:       | 90 212 2536630-1813  |
|    | Email address:           | <a href="mailto:s.kilicvaran@dilerbank.com.tr">s.kilicvaran@dilerbank.com.tr</a> |

7. Does your institution have a physical presence? Yes  No

For the purposes of this information "*physical presence*" means a place of business:

- located at a fixed address (other than solely an electronic address, a post office address or an accommodation address); **and**
- in a country in which the bank is authorised to conduct banking activities; **and**
- where the bank employs 1 or more individuals full-time and maintains operating records related to banking activities; **and**
- where the bank is subject to inspection by the banking authority which **licensed** the bank to conduct banking activities.

**Questionnaire****II. Ownership Structure**

8. Is your institution publicly owned? Yes  No
9. Is your institution listed on any stock exchange? Yes  No
10. Please list the beneficial owners of the institution (including their nominees, if their shares are held by nominees) who own, control or have power to vote for 20 percent or more of any class of voting securities or other voting interests  
**Recep Sami Yazıcı; Yazıcı Demir Çelik San. Tic.; Diler Holding A.Ş.; Diler Dış Ticaret A.Ş.; Fuat Miras**
11. Please attach the names of the members of your Board of Directors / Supervisory Board and the members of the Senior Management Team.  
**Ahmet Ertuğrul ;  
İbrahim Pektaş ;  
Veysel Çakır ;  
Faruk Miras ;  
Ali Akın Tarı ;  
Mehmet Suiçmez;  
Natik Atal ;**

**III. Policies and Procedures****Section A**

12. Does your institution have a policy and procedures for prevention of money laundering and terrorist financing? Yes  No
13. Does this policy apply to all of the operations covered to this Survey? Yes  No   
If No, please indicate the reason:
14. If requested, are you prepared to provide us with a copy of the policy? Yes  No
15. Does your institution verify the identity of all customers prior to providing accounts? Yes  No
16. Does your institution verify the identity of all customers including "occasional customers", to provide cash (transfer) services (such as telegraphic transfers)? Yes  No
17. Does your Financial Institution have a policy prohibiting accounts/relationships with shell banks (A shell bank is defined as a bank incorporated in a jurisdiction in which it has no physical presence and which is unaffiliated with a regulated financial group)? Yes  No
18. Do you search customer account database(s) for terrorist names? Yes  No   
List of names/ databases used.

**Questionnaire**

- OFAC  Australian DFAT  Bank of England   
HKMA  United Nations  European Union   
NZRBA   
Other  Please indicate: TBB

**Section B**

19. Does your institution provide employee-training program for prevention of money laundering and terrorist financing requiring all staff to undertake training? Yes  No   
Frequency: annually
20. Does your institution have policies and procedures for independent audit or testing of your anti-money laundering compliance? Yes  No   
Frequency:
21. Does your bank employ a Money Laundering Reporting Officer responsible for co-ordinating / monitoring compliance? Yes  No   
If yes, please provide the following:  
Name: SEVKAN KILIÇ VARAN  
Title: INTERNAL CONTROLLER  
Address: TERSANE CAD. NO:46-48 DİLER HAN K.8 34420 KARAKÖY-İSTANBUL  
e-mail: s.kilicvaran@dilerbank.com.tr  
Phone: +90 212 2536630 -1813  
Fax: +90 212 2539454
22. Does your institution comply with FATF Special Recommendation VII? Yes  No   
If No, please indicate the reason for non-compliance:
23. Does your institution filter international telegraphic transfers (eg international wire transfers) for terrorist names? Yes  No

**IV. Miscellaneous**

24. Branches or subsidiaries in any of the following countries, considered to be "non co-operative" by the Financial Action Task Force  
Myanmar (Burma) Yes  No



**DILERBANK**

**Anti-Money Laundering (AML) / Customer Due Diligence (CDD)**

**Questionnaire**

**Confirmation of authorisation to complete this document:**

Name: **SEVKAN KILIÇ VARAN**

Title: **INTERNAL CONTROLLER**

Date: 18/03/2009